

# Patterned Erasure Correcting Codes for Low Storage-Overhead Blockchain Systems

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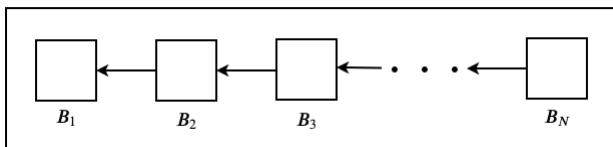
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## Simulation Results

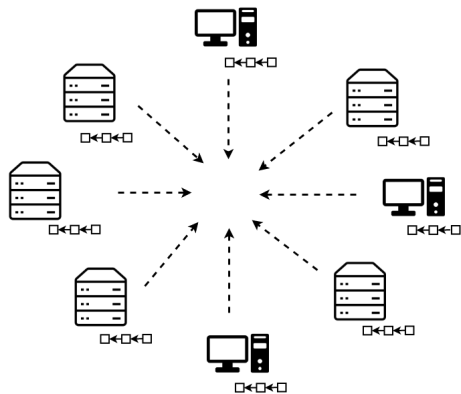
## Conclusion

# Blockchain Ledger



- ▶  $N$  blocks  $B_1, B_2, \dots, B_N$ .
- ▶ Stored in the form of a hash chain  $\implies$  Tamper proof

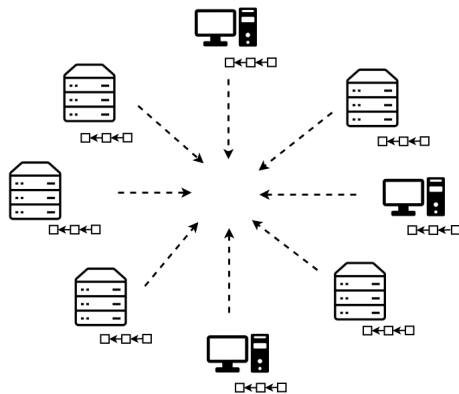
# Storage Burden in Blockchains



► P2P Network of  $n$  nodes

Figure: P2P Network

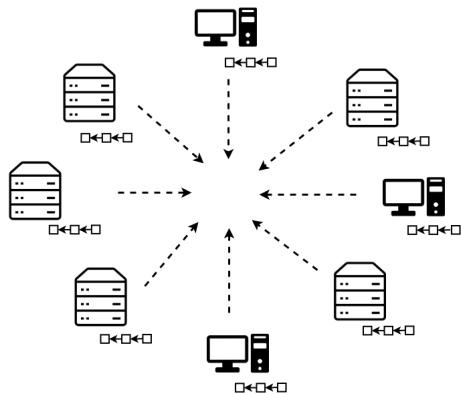
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- ▶ P2P Network of  $n$  nodes
- ▶ Each node stores full ledger
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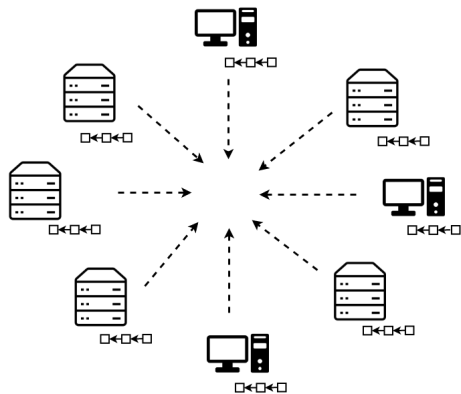
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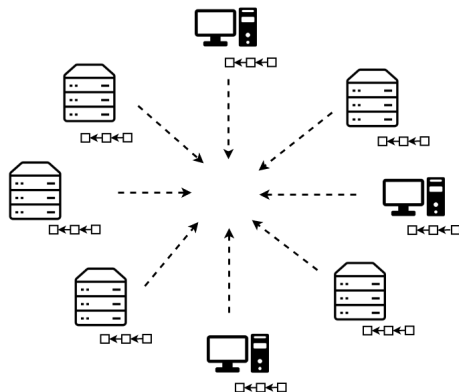
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Significant storage cost

Figure: P2P Network



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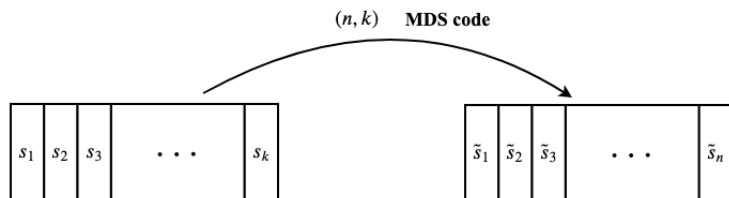
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**Goal:** Reduce storage costs without reducing blockchain availability

# Prior Work: Coded Sharding<sup>1,2</sup>



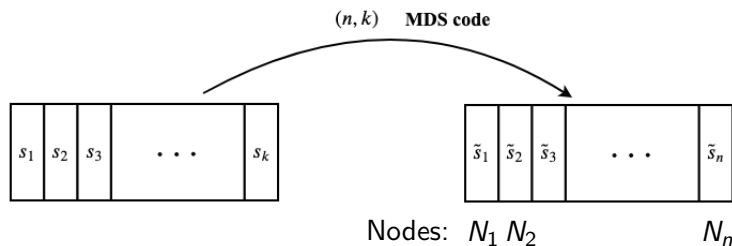
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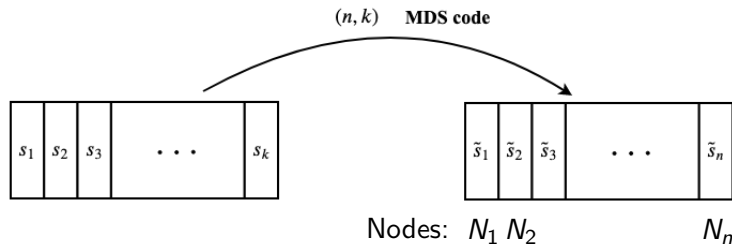


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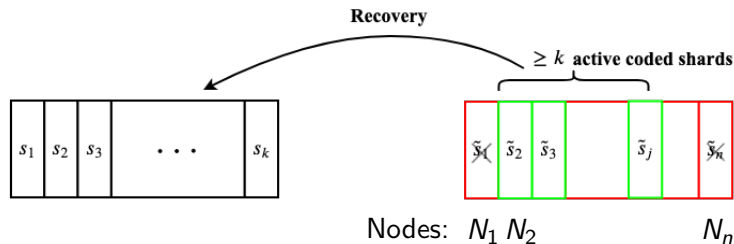


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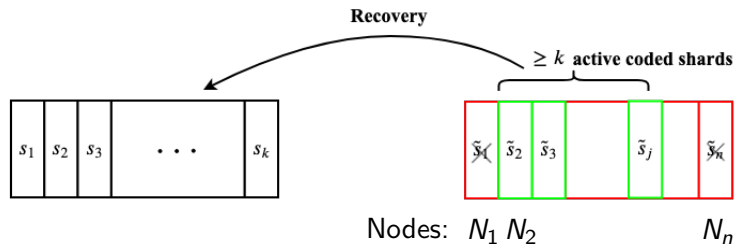


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- ▶ In practice, nodes fail periodically<sup>3</sup>
- ▶ Different nodes with different periodicities  
⇒ only specific patterns of erasures possible

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Periodicity modelled by

- ▶ uptime, downtime  $(u, d)$
- ▶ phase  $p \in [0, u]$

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- ▶ Can reduce storage per node by designing codes which correct only these erasure patterns

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## Goal: Storage Reduction in Patterned Erasure Model

- ▶ For a blockchain of size  $B$  with  $n$  nodes  $\{N_1, N_2, \dots, N_n\}$  and erasure patterned set  $\mathcal{P} = \{P_1, P_2, \dots, P_{|\mathcal{P}|}\}$ , design a code which guarantees to corrects all erasure patterns in  $\mathcal{P}$  and has minimum average storage per node

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**We can get a better average storage per node by relaxing this condition**

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Condition for Blockchain recoverability:

- ▶ For each patterned erasure set, the number of coded shards in its complement should be at least  $k$

## Optimal Shard Allocation

- ▶ Considering  $k$  and  $x_i$ 's as variables
- ▶ Code construction involves solving the following:  
(where  $\bar{P}_j$  denotes the set of nodes not in  $P_j$ )

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Integer Optimization

$$\begin{aligned} & \min_{x_1, \dots, x_n, k} \frac{B \sum_{i=1}^n x_i}{n k} \\ \text{s.t. } & \sum_{i: N_i \in \bar{P}_j} x_i \geq k, j = 1, 2, \dots, |\mathcal{P}| \\ & x_i \in \mathbb{Z}^+, i = 1, 2, \dots, n \\ & k \in \mathbb{Z}^{++} \end{aligned}$$



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- ▶ Optimal solution  $(\mathbf{x}^*, k^*)$ .

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PARE (Pattern Aware Redundancy for Erasures)- Code :

- ▶  $m^{\text{th}}$  coded shard at  $N_i$ :  $\alpha_{i,m}^1 s_1 + \alpha_{i,m}^2 s_2 + \dots + \alpha_{i,m}^{k^*} s_{k^*}$ ,  $1 \leq m \leq x_i^*$

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 \cdot & \cdot & \cdot & \cdot & & \\
 \cdot & \cdot & \cdot & \cdot & & \\
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 \alpha_{i,2}^1 & \alpha_{i,2}^2 & \alpha_{i,2}^3 & \dots & \dots & \alpha_{i,2}^{k^*} \\
 \cdot & \cdot & \cdot & \cdot & & \\
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- ▶ Can always choose a sufficiently large field to get required  $\alpha_{i,m}^\nu$

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PARE (Pattern Aware Redundancy for Erasures)- Code :

- ▶  $m^{\text{th}}$  coded shard at  $N_i$ :  $\alpha_{i,m}^1 s_1 + \alpha_{i,m}^2 s_2 + \dots + \alpha_{i,m}^{k^*} s_{k^*}$ ,  $1 \leq m \leq x_i^*$
- ▶  $\alpha_{i,m}^\nu$  chosen st. for each patterned set  $P_j$ , and  $\{i : N_i \in \bar{P}_j\}$ , the following matrix has rank  $k^*$

$$\begin{bmatrix} \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ \alpha_{i,1}^1 & \alpha_{i,1}^2 & \alpha_{i,1}^3 & \dots & \dots & \alpha_{i,1}^{k^*} \\ \alpha_{i,2}^1 & \alpha_{i,2}^2 & \alpha_{i,2}^3 & \dots & \dots & \alpha_{i,2}^{k^*} \\ \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ \alpha_{i,x_i^*}^1 & \alpha_{i,x_i^*}^2 & \alpha_{i,x_i^*}^3 & \dots & \dots & \alpha_{i,x_i^*}^{k^*} \\ \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \end{bmatrix}$$

- ▶ Can always choose a sufficiently large field to get required  $\alpha_{i,m}^\nu$
- ▶ E.g. can choose  $\alpha_{i,m}^\nu$  to form Vandermonde type matrices

# Equivalence with Linear Programming

Integer Optimization

$$\begin{aligned} & \min_{x_1, \dots, x_n, k} \frac{B \sum_{i=1}^n x_i}{n k} \\ & \text{s.t. } \sum_{i: N_i \in \bar{P}_j} x_i \geq k, j = 1, 2, \dots, |\mathcal{P}| \\ & x_i \in \mathbb{Z}^+, i = 1, 2, \dots, n; k \in \mathbb{Z}^{++} \end{aligned} \quad \equiv$$

# Equivalence with Linear Programming

## Integer Optimization

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Equivalence:

- ▶ If  $\mathbf{y}^* = (y_1^*, y_2^*, \dots, y_n^*)$  is an optimal solution of the LP, then choose  $k^*$  st.  $k^* \times \mathbf{y}^* = (k^* y_1^*, k^* y_2^*, \dots, k^* y_n^*)$  is integral and  $\mathbf{x}^* = k^* \times \mathbf{y}^*$
- ▶  $(\mathbf{x}^*, k^*)$  is optimal for Integer Optimization problem



## PARE-Example

- ▶ 6 Nodes:  $\{N_1, N_2, N_3, N_4, N_5, N_6\}$

$$\mathcal{P} = \left\{ \begin{array}{l} \{N_1, N_3, N_4, N_5\} \\ \{N_1, N_3, N_6\} \\ \{N_2, N_3, N_5, N_6\} \\ \{N_1, N_2, N_4\} \\ \{N_4, N_6\} \end{array} \right\}$$

## PARE-Example

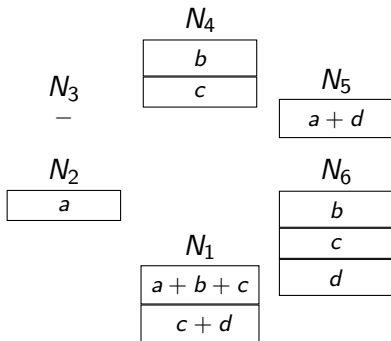
- ▶ 6 Nodes:  $\{N_1, N_2, N_3, N_4, N_5, N_6\}$
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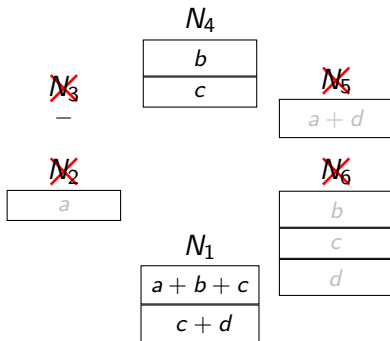
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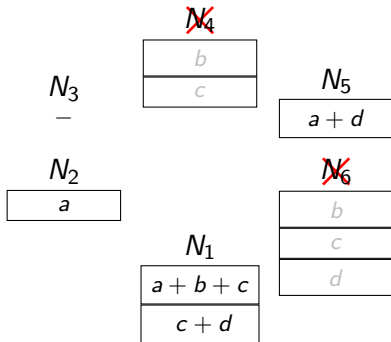
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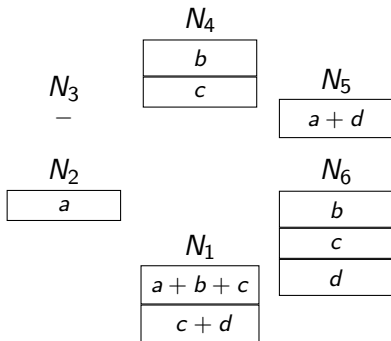
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- ▶ Average storage per node using PARE-Code: 0.375B
- ▶ Average storage per node using (6,2) MDS code: 0.5B

# Theoretical Analysis

## Lemma

*Average storage per node for PARE-Code is no more than  $\frac{B}{n-t}$ , where  $t = \max |P_j|$ .*

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*PARE-Code gives the minimum average storage per node of all codes that correct all erasure patterns in  $\mathcal{P}$ .*

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## Proof Idea

For any coding scheme, if  $B_1, B_2, \dots, B_n$  are the amounts of the blockchain stored at  $N_1, N_2, \dots, N_n$  respectively, then  $B_i$ 's must satisfy  $\sum_{i: N_i \in \bar{P}_j} B_i \geq B \implies \frac{B_i}{B}$  is feasible in the LP.

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### Proof Idea

For sufficiently large  $n$ , the probability that the  $(n+1)^{\text{st}}$  node has the same periodicity pattern as one of earlier nodes tends to 1.

## Condition for Redesign

LP for  $(n + 1)$  system:

$$\begin{array}{ll} \min_{y_1, \dots, y_{n+1}} & \mathbf{1}^T \mathbf{y} \\ \text{s.t.} & \mathbf{A} \mathbf{y} \leq \mathbf{b} \end{array} \quad \begin{array}{ll} \max & -\mathbf{b}^T \boldsymbol{\lambda} \\ \text{s.t.} & \mathbf{A}^T \boldsymbol{\lambda} + \mathbf{1} = \mathbf{0}, \boldsymbol{\lambda} \geq \mathbf{0}. \end{array}$$

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Let  $I = \{i \mid [\mathbf{y}^{old} \ 0]^T \mathbf{a}_i = b_i\}$ .  $(\mathbf{y}^{old}, 0)$  is optimal iff  $\exists \boldsymbol{\lambda} \geq \mathbf{0}$  such that  $\mathbf{A}_I^T \boldsymbol{\lambda} + \mathbf{1} = \mathbf{0}$ .

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Follows from the KKT conditions on feasible point  $(\mathbf{y}^{old}, 0)$ .

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- ▶ In practice, we check this condition to decide if redesign is needed or not. With Probability 1 it is not needed.

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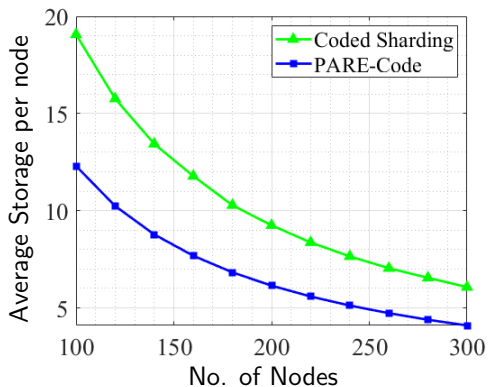
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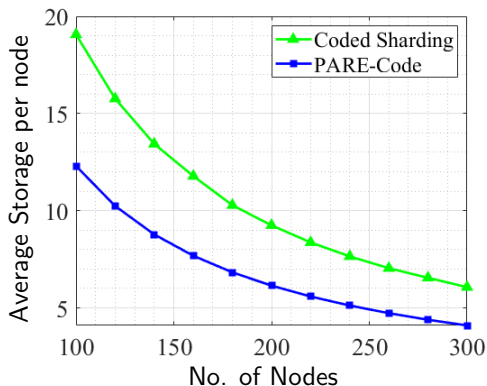
# Average Storage



- Used  $U = [5, 6, 7]$ ,  $D = [1, 3, 5]$  and  $B = 1024$

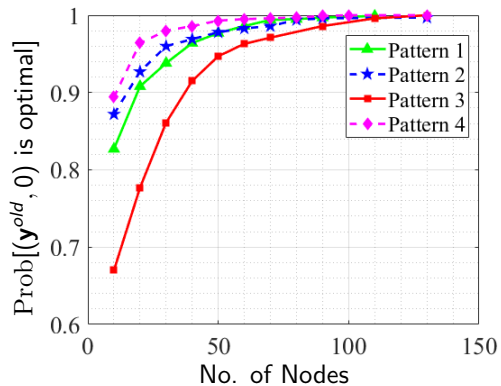


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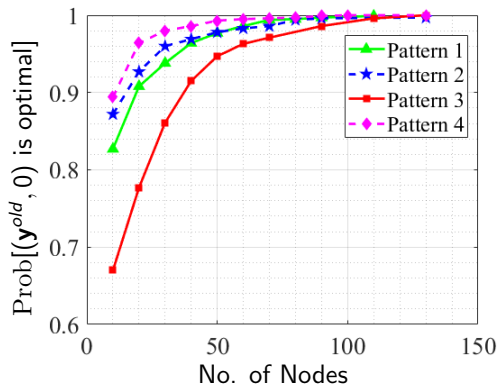
- ▶ Used  $U = [5, 6, 7]$ ,  $D = [1, 3, 5]$  and  $B = 1024$
- ▶ PARE-Code has a lower average storage per node compared to coded sharding

# Probability of Redesign



| Pattern | U             | D             |
|---------|---------------|---------------|
| 1       | [5,6,7]       | [1,3,5]       |
| 2       | [3,2,4,1,5,2] | [1,2,2,1,1,4] |
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- ▶  $\text{Prob}[(\mathbf{y}^{old}, 0) \text{ is optimal}] \rightarrow 1$  as number of nodes increases

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- ▶ We provide a coding scheme which minimally corrects a predefined set of erasure patterns and is optimal in terms of average storage per node
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## Ongoing Work:

- ▶ Effect of node leaving the system
- ▶ Communication cost during recovery from erasures

Thank you!